

# KYC/AML Report

## Recommendation

CONTINUE

## Case Reference

APP637588

## Request Id

RB001632628404

## Event date/time

01/06/2026 11:32:15

## Applicant

|               |                      |
|---------------|----------------------|
| Date of Birth | 17/11/1962           |
| Name          | Mr John William Rees |
| Consent       | True                 |

## Identity Documents

|                      |                      |
|----------------------|----------------------|
| Identity Document ID | IDDOC_DRIVER_LICENSE |
| Document Number      | REES9611172JW8GK     |
| Document Type        | DRIVER_LICENSE       |
| Expires Date         | 16/11/2032           |

## Telephones

|                  |             |
|------------------|-------------|
| Telephone Number | 07405902784 |
|------------------|-------------|

## Addresses

|                 |                 |
|-----------------|-----------------|
| CURRENT         |                 |
| Building Number | 15              |
| Street          | Groveland Place |
| Post Town       | Reading         |
| Postcode        | RG30 2LG        |

## Results

### UK ID Data Submission

CONTINUE

|              |               |              |
|--------------|---------------|--------------|
| Applicant ID | App Reference | Rules Matrix |
| APPLICANT-1  | AHTK9DJCT7    |              |

### Identity Verification

CONTINUE

|              |               |              |
|--------------|---------------|--------------|
| Applicant ID | App Reference | Rules Matrix |
| APPLICANT-1  | AHTK9DJCT7    | 2ProveID234  |

### Key Contributor

|                   |               |                          |                                          |
|-------------------|---------------|--------------------------|------------------------------------------|
| Key Decision Rule | Key Rule Name | Key Decision Rule Result | Key Decision Rule Text                   |
| 7603              | V_EId_2PSNAx  | True                     | 2+ Primary or Secondary Name and Address |

### Rules

| Rule ID | Rule Name    | Rule Result | Rule Text                                                              |
|---------|--------------|-------------|------------------------------------------------------------------------|
| 7603    | V_EId_2PSNAx | True        | 2+ Primary or Secondary Name and Address                               |
| 6710    | V_EId_1ScNAx | True        | At least one Primary or Secondary Data Source, with a name and address |
| 9991    | NRF9991      | True        | This rule is always true                                               |
| 9990    | NRF9990      | False       | No other rule has fired                                                |

| Data Sources           |                               |                          |              |           |
|------------------------|-------------------------------|--------------------------|--------------|-----------|
| Number of Data Sources | Name, current address and DOB | Name and current address | Name and DOB | Name only |
| Primary data sources   | 10                            | 1                        | 1            | 0         |
| Secondary data sources | 0                             | 0                        | 0            | 0         |
| Tertiary data sources  | 2                             | 0                        | 2            | 0         |
| All data sources       | 12                            | 1                        | 3            | 0         |

| Attributes                            |                               |                          |              |           |
|---------------------------------------|-------------------------------|--------------------------|--------------|-----------|
| Data sources with an exact name match | Name, current address and DOB | Name and current address | Name and DOB | Name only |
| Primary, exact name match             | 10                            | 1                        | 1            | 0         |
| Secondary, exact name match           | 0                             | 0                        | 0            | 0         |
| Tertiary, exact name match            | 2                             | 0                        | 2            | 0         |
| All, exact name match                 | 12                            | 1                        | 3            | 0         |
| Data sources with an exact DOB match  | Name, current address and DOB | Name and DOB             |              |           |
| Primary, exact DOB match              | 10                            | 0                        |              |           |
| Secondary, exact DOB match            | 0                             |                          |              |           |
| Tertiary, exact DOB match             | 2                             |                          |              |           |
| All, exact DOB match                  | 12                            |                          |              |           |
| Active data sources                   | Name, current address and DOB | Name and address         |              |           |
| Primary, active                       | 5                             | 1                        |              |           |
| Secondary, active                     | 0                             | 0                        |              |           |
| Tertiary, active                      |                               |                          |              |           |
| All, active                           | 5                             | 1                        |              |           |

| Data Source Details               |       |              |                       |
|-----------------------------------|-------|--------------|-----------------------|
| Sources                           | Items | Data Sources | Data Source Hierarchy |
| Voter Roll Persons                | 1     | 1            | 1                     |
| Credit and Store Cards            | 5     | 5            | 5                     |
| Current Accounts                  | 1     | 1            | 1                     |
| Loans: Short term and small value | 3     | 1            | 1                     |
| Media Services and Communications | 4     | 3            | 3                     |

| Bureau Data Return |       |            |             |         |
|--------------------|-------|------------|-------------|---------|
| Searched Contact   |       |            |             |         |
| Type               | Title | First Name | Middle Name | Surname |

|               |              |              |                 |                 |          |           |        |          |
|---------------|--------------|--------------|-----------------|-----------------|----------|-----------|--------|----------|
| CURRENT       | MR           | JOHN         |                 | WILLIAM         |          | REES      |        |          |
| Date of Birth |              |              |                 |                 |          |           |        |          |
| 17/11/1962    |              |              |                 |                 |          |           |        |          |
|               |              |              |                 |                 |          |           |        |          |
| Address Type  | Sub Building | Bulding Name | Building Number | Street          | Locality | Post Town | County | Postal   |
| CURRENT       |              |              | 15              | GROVELAND PLACE |          | READING   |        | RG30 2LG |

Voters Roll Person

| Type         | Title        |              |                 | First Name | Middle Name | Surname   |        |        |
|--------------|--------------|--------------|-----------------|------------|-------------|-----------|--------|--------|
|              |              |              |                 | JOHN       | W           |           | REES   |        |
| Address Type | Sub Building | Bulding Name | Building Number | Street     | Locality    | Post Town | County | Postal |
| CURRENT      |              |              | 15              |            |             |           |        |        |

Contras

CONTINUE

|              |               |               |
|--------------|---------------|---------------|
| Applicant ID | App Reference | Rules Matrix  |
| APPLICANT-1  | AHTK9DJCT7    | MortHalo_2403 |

|                   |               |                          |                         |
|-------------------|---------------|--------------------------|-------------------------|
| Key Contributor   |               |                          |                         |
| Key Decision Rule | Key Rule Name | Key Decision Rule Result | Key Decision Rule Text  |
| 9990              | NRF9990       | True                     | No other rule has fired |

|         |                                   |             |                                                                                            |
|---------|-----------------------------------|-------------|--------------------------------------------------------------------------------------------|
| Rules   |                                   |             |                                                                                            |
| Rule ID | Rule Name                         | Rule Result | Rule Text                                                                                  |
| C9110   | V_Mort-H_Match                    | False       | Existence of a Mortality Record from the Halo/Millennium data source                       |
| C1001   | V_EId_da                          | False       | No non-excluded data records with DoB                                                      |
| C9750   | V_Driving_Licence_Details_Invalid | False       | Driving Licence details did not match AND/OR the Driving Licence Number format was invalid |
| C9751   | V_Driving_Licence_Details_Partial | False       | Driving Licence Number format was valid however not all details were an exact match        |
| C9752   | V_DL_Nbr_Surname_NoMatch          | False       | Applicant's surname did not match driving licence number                                   |
| C9753   | V_DL_Nbr_Initials_NoMatch         | False       | Applicant's initials did not match driving licence number                                  |
| C9754   | V_DL_Nbr_Dob_NoMatch              | False       | Applicant's date of birth did not match driving licence number                             |
| C9755   | V_DL_Nbr_Detail_NoMatch           | False       | Applicant's Number Detail did not match driving licence number                             |
| C9756   | V_DL_Nbr_Check_NoMatch            | False       | Driving Licence format is invalid                                                          |
| C9757   | V_DL_CatchAll                     | False       | Driver licence details were incomplete or invalid                                          |
| C9815   | V_Passport_Partial                | False       | Passport has valid format but expiry date is not a valid future date                       |
| C9850   | V_Passport_Number_Invalid         | False       | Applicant's passport number is not valid                                                   |
| C9851   | V_Passport_Expiry_Date_Expired    | False       | Applicant's passport expiry date is not a valid future date                                |
| C9852   | V_Passport_CatchAll               | False       | Passport details were incomplete or invalid                                                |
| 9990    | NRF9990                           | True        | No other rule has fired                                                                    |

|                               |               |                |           |              |
|-------------------------------|---------------|----------------|-----------|--------------|
| Driving License Match Details |               |                |           |              |
| Number Check                  | Surname Check | Initials Check | DoB Check | Detail Check |
| Valid                         | Match         | Match          | Match     | Match        |

PEPs & Sanctions

CONTINUE

| Applicant ID | App Reference | Rules Matrix     |
|--------------|---------------|------------------|
| APPLICANT-1  | AHTK9DJCT7    | 1MatchScheme2311 |

| Key Contributor   |               |                          |                         |
|-------------------|---------------|--------------------------|-------------------------|
| Key Decision Rule | Key Rule Name | Key Decision Rule Result | Key Decision Rule Text  |
| 9990              | NRF9990       | True                     | No other rule has fired |

| Rules   |                            |             |                                                                                                |
|---------|----------------------------|-------------|------------------------------------------------------------------------------------------------|
| Rule ID | Rule Name                  | Rule Result | Rule Text                                                                                      |
| PSE9208 | LN_PEP_EN_FULDOB_EU        | False       | Items with Exact Name and Full Date of Birth where category is EU >=1                          |
| PSE9600 | LN_PEP_EN_FULDOB_GLOBAL    | False       | One or more Exact Name and Full Date of Birth PEP match                                        |
| PSE9200 | LN_PEP_EN_FULDOB_INT       | False       | Items with Exact Name and Full Date of Birth where category is International >=1               |
| PSE9216 | LN_PEP_EN_FULDOB_ROW       | False       | Items with Exact Name and Full Date of Birth where category is Rest of the World >=1           |
| PSE9204 | LN_PEP_EN_FULDOB_UK        | False       | Items with Exact Name and Full Date of Birth where category is UK >=1                          |
| PSE9220 | LN_PEP_EN_FULDOB_UNK       | False       | Existence of a PEP record from an unknown category with an exact name match and full DoB match |
| PSE9212 | LN_PEP_EN_FULDOB_USA       | False       | Items with Exact Name and Full Date of Birth where category is USA >=1                         |
| PSE9732 | LN_SAN_EN_FULDOB_CSLIST    | False       | One or more Exact Name and Full Date of Birth SAN match for Consolidated Sanctions List        |
| PSE9308 | LN_SAN_EN_FULDOB_EU        | False       | Existence of a SAN record from an EU category with an exact name match and full DoB match      |
| PSE9304 | LN_SAN_EN_FULDOB_HMT       | False       | Existence of a SAN record from an HMT category with an exact name match and full DoB match     |
| PSE9708 | LN_SAN_EN_FULDOB_OFACOTHER | False       | One or more Exact Name and Full Date of Birth SAN match for OFAC-Other                         |
| PSE9700 | LN_SAN_EN_FULDOB_OFACSDN   | False       | One or more Exact Name and Full Date of Birth SAN match for OFAC-SDN                           |
| PSE9724 | LN_SAN_EN_FULDOB_OTHER     | False       | One or more Exact Name and Full Date of Birth SAN match for OTHER                              |
| PSE9300 | LN_SAN_EN_FULDOB_UN        | False       | Existence of a SAN record from an UN category with an exact name match and full DoB match      |
| PSE9320 | LN_SAN_EN_FULDOB_UNK       | False       | Existence of a SAN record from an unknown category with an exact name match and full DoB match |
| PSE9716 | LN_SAN_EN_FULDOB_USAOTHER  | False       | One or more Exact Name and Full Date of Birth SAN match for USA OTHER                          |
| 9990    | NRF9990                    | True        | No other rule has fired                                                                        |

| Data Counts |            |            |                         |                                 |                     |                         |                      |
|-------------|------------|------------|-------------------------|---------------------------------|---------------------|-------------------------|----------------------|
| DataItems   | Duplicates | DataGroups | ItemsOtherAddressesPEPs | ItemsOtherAddressesEnforcements | ItemsPEPExactNameUK | ItemsPEPExactNameGlobal | ItemsENFExactNameUSA |
| 4           | 0          | 2          | 3                       | 1                               | 3                   | 3                       | 1                    |

| Bureau Data Return                                                                          |              |                 |                 |
|---------------------------------------------------------------------------------------------|--------------|-----------------|-----------------|
| Politically Exposed Person                                                                  |              |                 |                 |
| Entity ID                                                                                   | Entity Type  | Entity Creation | Entity Modified |
| 2318117                                                                                     | INDIVIDUAL   | 2011-08-03      | 2019-10-29      |
| Entity Names                                                                                |              |                 |                 |
| Name 1                                                                                      |              |                 |                 |
| JOHN REES                                                                                   |              |                 |                 |
| Bodies                                                                                      |              |                 |                 |
| Body 1                                                                                      |              |                 |                 |
| Body ID                                                                                     | Body Name    | Category        |                 |
| 1537                                                                                        | Website      | International   |                 |
| Positions                                                                                   |              |                 |                 |
| Position 1                                                                                  |              |                 |                 |
| Position                                                                                    | Country Code |                 | Grouping        |
| Former Honorary Consul of the United Kingdom to Punta Arenas, Chile (January, 1992 - 2016). | GB           |                 | UK              |

Politically Exposed Person

| Entity ID | Entity Type | Entity Creation | Entity Modified |
|-----------|-------------|-----------------|-----------------|
| 9422641   | INDIVIDUAL  | 2018-10-01      | 2019-06-13      |

Dates Of Birth

| Date of Birth 1 |
|-----------------|
| 1953-09-15      |

Entity Names

| Name 1    |
|-----------|
| JOHN REES |

Bodies

| Body 1  |           |               |
|---------|-----------|---------------|
| Body ID | Body Name | Category      |
| 1537    | Website   | International |

Positions

| Position 1                                                             |              |          |
|------------------------------------------------------------------------|--------------|----------|
| Position                                                               | Country Code | Grouping |
| Member of the Board of NMRN Services, effective from January 13, 2014. | GB           | UK       |

Politically Exposed Person

| Entity ID | Entity Type | Entity Creation | Entity Modified |
|-----------|-------------|-----------------|-----------------|
| 10208418  | INDIVIDUAL  | 2019-08-22      | 2019-08-22      |

Entity Names

| Name 1    |
|-----------|
| JOHN REES |

Bodies

| Body 1  |           |               |
|---------|-----------|---------------|
| Body ID | Body Name | Category      |
| 1537    | Website   | International |

Positions

| Position 1                                                                                                      |              |          |
|-----------------------------------------------------------------------------------------------------------------|--------------|----------|
| Position                                                                                                        | Country Code | Grouping |
| Former Chairman of Administration of Radioactive Substances Advisory Committee, effective until March 31, 2019. | GB           | UK       |

Enforcements

| Entity ID | Entity Type | Entity Creation | Entity Modified |
|-----------|-------------|-----------------|-----------------|
| 5883871   | INDIVIDUAL  | 2015-04-19      | 2019-11-04      |

Dates Of Birth

| Date of Birth 1 |
|-----------------|
| 1926-05-25      |

Entity Names

| Name 1    |
|-----------|
| JOHN REES |

Bodies

| Body 1 |
|--------|
|--------|

| Body ID                                                                                                            | Body Name                                     | Category     |          |
|--------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--------------|----------|
| 1304                                                                                                               | US-U.S. Department of Health & Human Services | USA          |          |
| Positions                                                                                                          |                                               |              |          |
| Position 1                                                                                                         |                                               |              |          |
| Position                                                                                                           |                                               | Country Code | Grouping |
| Entity on the Excluded Party list of the United States Department of Health and Human Services - January 27, 1989. |                                               | US           | USA      |